Customer No. 20,993

locket No.: NEKEUS.069A

#### INFORMATION DISCLOSURE STATEMENT

Mitchell, et al.

App. No.

10/673,036

Filed

September 25, 2003

For

MACROCYCLIC LACTAMS

Examiner

Unknown

Group Art Unit

Unknown

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing four (4) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). Even if a first Office Action on the merits was mailed before the mailing date of this Statement, this Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The Commissioner is authorized to charge any necessary fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

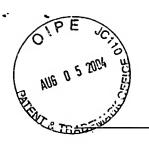
Dated: Hugust 3, 2004

Marc T. Morley

Registration No. 52,051

Attorney of Record Customer No. 20,995

(619) 235-8550



Case Docket No. NEREUS.069A

Date: August 3, 2004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Mitchell, et al.

Appl. No.

10/673,036

Filed

September 25, 2003

For

MACROCYCLIC LACTAMS

Examiner

Unknown

Group Art Unit:

Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 3, 2004

Marc T. Morley, Reg. No. 52,051

# TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement in one (1) page.
- (X) A PTO Form 1449 in one (1) page listing and enclosing four (4) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Marc T. Morley

Registration No. 52,051

Attorney of Record

Customer No. 20,995

(619) 235-8550

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. NEREUS.069A	APPLICATION NO. 10/673,036		
AUG 0 5 2004 E		APPLICANT Mitchell, et al.			
(USE SEVERAL	. SHEETS IF NECESSARY)	FILING DATE September 25, 2003	GROUP Unknown		
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
		_		-		

FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL						YES	NO	
	1.	WO 99/07692	02/18/99	PCT				
	2.	WO 00/37473	06/29/00	PCT				
•								

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
	3.	Arai, et al. "Absolute Stereochemistry of Vicenistatin, a Novel 20-Membered Macrocyclic Lactam Antitumor Antibiotic." Tetrahedron Letters. 39: 3181-3184 (1998).				
		International Search Report for International Application No. PCT/US 03/30518 dated 5/25/04				

# S:\DOCS\MTM\MTM-6572.DOC sma072204

EXAMINER	DATE CONSIDERED				
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS	S IN CONFORMANCE WITH MPEP 609: DRAW LINE THROUGH CITATION IF NOT				

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.